

**SHERMAN D. PERNIA, Ph.D., J.D.****U.S. Patent Agent & Attorney at Law****Moetteli & Associés, SàRL**

St. Leonhardstrasse 4

CH-9000 St. Gallen, Switzerland

Phone: 011 4171 230 1000

Fax: 011 4171 230 1001

E-mail: sherman@patentinfo.net

**FACSIMILE TRANSMISSION COVER SHEET**

24 May 2007

Fax Number: 001 571-273-6500

MS: 16

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: Rebecca

Re: Second Request for Refund

Serial No.: 10/537,531

Filing Date: 3 June 2005

Docket No: PUS-A003-215

Applicant: Spartiotis, K.

Number of Pages (including this page): 5

Message:

**PLEASE DELIVER THIS COMMUNICATION TO  
THE ABOVE IDENTIFIED OFFICE/PERSON**

Dear Rebecca:

Attached is a copy of the cover sheet of our previous request filed last 30 August 2006.

This request is for: \$700.00, which is half of the large entity fee payment charged (see Deposit Account Statement for items dated 08/10 showing the large entity fee of \$1,400 was charged).

Applicant is a small entity (see attached copies of forms PTO-1390 and PTOL-85), and should have only been charged \$700 for the issue fee. Please credit the refund as indicated in the previous Refund Request.

Respectfully submitted,

Sherman D. Pernia, Reg. No 34,404

**Certificate of Transmission Under 37 CFR §1.8**I, Sherman D. Pernia, hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent & Trademark Office on the date below.  
Signed24 May 2007  
Date

Docket No.: PUS-A003-215

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/537,531

§  
§  
§  
§  
§

Filing Date: 06/03/2005

Applicant: SPARTIOTIS, Konstantinos  
MANNISTO, Olli

Art Unit: 2884

Docket No.: PUS-A003-215

Examiner: HANNAHER,  
Constantine

CERTIFICATE OF TRANSMISSION UNDER 37 CFR §1.8. I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office

On August 30, 2006

Typed or printed name of person signing this certificate:

Monetia Schenckler

Signature of person signing this certificate:

Monetia SchencklerFAX TRANSMITTAL  
REQUEST FOR REFUND

Via facsimile to: 001 571 273 8300

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Responsive to the Deposit Account Statements of June 2005, January 2006 and August 2006 (see enclosed copies), Applicant herewith respectfully requests refund of the fee payments as large entity. As you can see from the copies of parts of our filings, namely Form PTO-1390 and Form PTOL-85, we have X-ed the fields of small entity.

Please note that this communication hereby asserts that the above-identified patent is entitled to small entity status.

Applicant further requests that the refund be credited to the following deposit account: Moetteli & Associates, Acct. No. 50-2621.

Respectfully submitted,

08/19/2007 RCLEMONS 00000013 502621 10537531

08/25/07 700.00 DA

Sherman D. Pernia

Registration No.: 34,404

Date: 30 Aug 2006

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or **Fax** (571)-273-2885

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

31184 7390 08/02/2006

MOETTEL & ASSOCIATES SARL  
 ST. LEONHARDSTRASSE 4  
 ST. GALLEN, CH-9000  
 SWITZERLAND

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/537,531	06/03/2005	Konstantinos Spartiotis	PUS-A003-215	4792

TITLE OF INVENTION: SWITCHING/DEPOLARIZING POWER SUPPLY FOR A RADIATION IMAGING DEVICE

APPLN TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	11/02/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS				
HANNAHER, CONSTANTINE	2884	250-370130				

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Sherman D. Pernia  
Moetzel & Associates  
 3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

4. Check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 5

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 502621 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Sherman D. Pernia  
 Typed or printed name Sherman D. Pernia

Date 10 August 2006  
 Registration No. 34,404

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

\*TOL-85 (Rev. 07/06) Approved for use through 04/30/2007.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0851-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/US2003/039432		ATTORNEY'S DOCKET NUMBER PUS-A003-215	
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 100	
TOTAL OF 21, 22 and 23 =				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	3 - 20 =	-	x \$ 80	\$	
Independent claims	1 - 3 =	-	x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$340	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 600	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 600	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>502621</u> in the amount of \$ <u>600</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>502621</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: Cust. No. 51184					
John MOETTELI MOETTELI & Associates SàRL St. Leonhardstrasse 4 CH-9000 St. Gallen SWITZERLAND					
				SIGNATURE <u>John MOETTELI</u>	
				NAME <u>35,289</u>	
				REGISTRATION NUMBER	

FORM PTO-1390 (REV. 02-2005)

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## Deposit Account Statement

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**Requested Statement Month:**

**August 2006**

**Deposit Account Number:**

502621

**Name:**

**MOETTEL & ASSOCIATES SARL**

**Attention:**

**JOHN MOETTELI**

**Address:**

**ST LEONHARDSTRASSE 4**

**City:**

## ST GALLEN

**State:**

**Zip:**

CH-9000

Country:

**SWITZERLAND**

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/07	1	10558884	PUS-S011-001N	2615	-\$400.00	\$7,449.80
08/07	2	10558884	PUS-S011-001N	2615	\$125.00	\$7,324.80
08/07	3	10558884	PUS-S011-001N	2614	\$400.00	\$6,924.80
08/08	2	10431908	1.P586.33	2201	\$100.00	\$6,824.80
→ 08/10	96	10537531	PUS-A003-215	1501	<u>\$1,400.00</u>	\$5,424.80
→ 08/10	97	10537531	PUS-A003-215	1504	\$300.00	\$5,124.80
→ 08/10	98	10537531	PUS-A003-215	8001	\$15.00	\$5,109.80
08/14	1	10551295	PUS-S004-001	2642	-\$200.00	\$5,309.80
08/14	2	10551295	PUS-S004-001	2641	\$50.00	\$5,259.80
08/14	282	11380006		8021	\$40.00	\$5,219.80
08/15	3	10534263	PUS-P001-031	2642	-\$200.00	\$5,419.80
08/15	4	10534263	PUS-P001-031	2633	-\$100.00	\$5,519.80
08/15	5	10534263	PUS-P001-031	2631	-\$150.00	\$5,669.80
08/21	1104	78955999	MUS-M003-004	7007	\$275.00	\$5,394.80
08/22	126	6540739	PUS-P001-014CON	2551	\$450.00	\$4,944.80
08/23	4	11213313	PUS-J003-001	1202	-\$350.00	\$5,294.80
08/23	5	11213313	PUS-J003-001	2202	\$175.00	\$5,119.80

START	SUM OF
BALANCE	CHARGES
\$7,049.80	\$3,330.00

SUM OF	END
REPLENISH	BALANCE
\$1,400.00	\$5,119.80

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